

BATCH TRANSACTION RECORD LAYOUT FOR ICD10 (October 2014)

Name: Batch Diagnostic Data
Transaction

FIELD TYPE	GLOBAL FORMAT	GLOBAL ATTRIBUTES								
(N)UMERIC		Character format, whole number, right justified, leading zeroes, not specified value=zeros								
(A)LPHA-NUMERIC		Left justified, padded spaces, not specified value=spaces								
(T)IME	HHMM	Character format, numeric digits, 24 hour military time, HH=HOUR (00-23) MM=MINUTES (00-59), not specified value=spaces								
(D)ATE	YYYYMMDD	Character format, numeric digits, YYYY=YEAR, MM=MONTH, DD=DAY, not specified value=spaces								
PHY REC #	REL POS	DATA ELEMENT NAME	CARE FIELD NAME	USAGE	TYPE	LEN	REQ	DESCRIPTION	VALIDATION	
1	1	Component Code	COMP	Key	N	3	Y		Must equal your component code	
1	4	Record Type	REC_TYPE	Key	N	1	Y	Transaction data record	Must equal '1'	
1	5	Transaction Code	TRANS	Key	N	2	Y	Batch diagnostic data transaction	Must equal '15'	
1	7	Transaction Format Version	TRANS_VER	Key	A	1	Y	Current version of transaction	Must equal 'C'	
1	8	Logical Transaction Number	LOG_TRANS_NO	Key	N	6	Y	Logical transaction number in transaction file	Logical transaction numbers must be in ascending order in transaction file	
1	14	Physical Record Number	PHY_REC_NO	Key	N	2	Y	Physical record sequence number within logical transaction	Must equal '01'	
1	16	Batch Number	BATCH_NO		A	2		Assigned by component	This information is for component cross-reference use only	
1	18	Transfer Enter Date	TRANS_ENTER_DT		D	8	Y	Date transaction was entered		
1	26	User ID	USER_ID		A	5		User ID of the person that entered the transaction on		

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PHY REC #	REL POS	DATA ELEMENT NAME	CARE FIELD NAME	USAGE	TYPE	LEN	REQ	DESCRIPTION	VALIDATION
								your local computer system	
1	31	Local Case Number	CASE		A	10	Y	Local case number must be unique within your component	Local case number must already exist on CARE for your component must not be entirely alphabetic Must not begin with 'Y'
1	41	Client ID	ID		N	10		Client ID number assigned by CARE (if known)	
1	51	Last Name	LASTNM		A	16	Y		Last name must match last name on CARE
1	67	Suffix	LAST_SUF		A	3		'JR.', 'SR.', 'I', 'II', Etc.	
1	70	First Name	FIRSTNM		A	11	Y		First initial must match first initial on CARE

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PHY REC #	REL POS	DATA ELEMENT NAME	CARE FIELD NAME	USAGE	TYPE	LEN	REQ	DESCRIPTION	VALIDATION	
2	1	Component Code	COMP	KEY	N	3	Y		Must equal your component code	
2	4	Record Type	REC_TYPE	KEY	N	1	Y	Transaction data record	Must equal '1'	
2	5	Transaction Code	TRANS	KEY	N	2	Y	Batch diagnostic data transaction	Must equal '15'	
2	7	Transaction Format Version	TRANS_VER	KEY	A	1	Y	Current version of transaction	Must equal 'C'	
2	8	Logical Transaction Number	LOG_TRANS_NO	KEY	N	6	Y	Logical transaction number in transaction file	Logical transaction numbers must be in ascending order in transaction file	
2	14	Physical Record Number	PHY_REC_NO	KEY	N	2	Y	Physical record sequence number within logical transaction	Must equal '02'	
2	16	Middle Name	MIDNM		A	10				
2	26	Date of Staff Decision	EFF_DT		D	8	Y		Must Be > = registration date Must be < = transaction enter date If diagnostic data already exists for this client with the same decision date and time, this	

transaction will be rejected

2	34	Filler - Time of Staff Decision	EFF_DT	T	4		Time of Diagnosis	
2	38	Reason for Action	REAS_ACT	A	1	Y		See 'DIAG_ACTION' decode table
2	39	Principal Diag Axis	AXIS_IND	A	1	N		See 'DIAG_PRIN_DIAG_AXIS' decode table
2	40	Axis I Level 1	DSM11	A	7			This field is required if principal Diag Axis equals '1' or principal diag axis is not entered See 'DSM_CODES' decode table
2	47	Axis I Level 2	DSM12	A	7			See 'DSM_CODES' decode table
2	54	Axis I Level 3	DSM13	A	7			"
2	61	Axis I Level 4	DSM14	A	7			"
2	68	Axis I Level 5	DSM15	A	7			"

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PHY REC #	REL POS	DATA ELEMENT NAME	CARE FIELD NAME	USAGE	TYPE	LEN	REQ	DESCRIPTION	VALIDATION	
3	1	Component Code	COMP	KEY	N	3	Y		Must equal your component code	
3	4	Record Type	REC_TYPE	KEY	N	1	Y	Transaction data record	Must equal '1'	
3	5	Transaction Code	TRANS	KEY	N	2	Y	Batch diagnostic data transaction	Must equal '15'	
3	7	Transaction Format Version	TRANS_VER	KEY	A	1	Y	Current version of transaction	Must equal 'C'	
3	8	Logical Transaction Number	LOG_TRANS_NO	KEY	N	6	Y	Logical transaction number in transaction file	Logical transaction numbers must be in ascending order in transaction file	
3	14	Physical Record Number	PHY_REC_NO	KEY	N	2	Y	Physical record sequence number within logical transaction	Must equal '03'	
3	16	Axis I Level 6	DSM16		A	7			See DSM_CODES	
3	23	Axis II Level 1	DSM21		A	7			This field is required if principal DIAG AXIS equals '2'	
3	30	Axis II Level 2	DSM22		A	7			"	

3	37	Axis II Level 3	DSM23	A	7	
3	44	Axis II Level 4	DSM24	A	7	
3	51	Filler		A	15	
3	66	Current ABL	ABL_CUR	A	1	See 'DIAG_ABL' decode table This field is required if principal diagnosis is MR (i.e. principal DIAG AXIS equals '2' and DSM_VER = 'R' and the first 3 digits of Axis II Level 1 equal '317', '318' or
3	67	Potential ABL	ABL_POT	A	1	See 'DIAG_ABL' decode table
3	68	Primary AAMD	AAMD_PRIM	A	3	See 'AAMD_CLASS' decode table
3	71	Secondary AAMD	AAMD_SEC	A	3	"
3	74	Tertiary AAMD	AAMD_TERT	A	3	"
3	77	Genetic Component	GEN_COMP	A	2	See 'AAMD_GEN_COMP' decode table
3	79	Cranial Anomaly	CRAN_ANOM	A	2	See 'AAMD_CRA_ANOM' decode table

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PHY REC #	REL POS	DATA ELEMENT NAME	CARE FIELD NAME	USAGE	TYPE	LEN	REQ	DESCRIPTION	VALIDATION	
4	1	Component Code	COMP	KEY	N	3	Y		Must equal your component code	
4	4	Record Type	REC_TYPE	KEY	N	1	Y	Transaction data record	Must equal '1'	
4	5	Transaction Code	TRANS	KEY	N	2	Y	Batch diagnostic data transaction	Must equal '15'	
4	7	Transaction Format Version	TRANS_VER	KEY	A	1	Y	Current version of transaction	Must equal 'C'	
4	8	Logical Transaction Number	LOG_TRANS_NO	KEY	N	6	Y	Logical transaction number in transaction file	Logical transaction numbers must be in ascending order in transaction file	
4	14	Physical Record Number	PHY_REC_NO	KEY	N	2	Y	Physical record sequence number within logical transaction	Must equal '04'	
4	16	Sensory Impairment	SENS_IMPAIR		A	2			See 'AAMD_SENS_IMP' decode table	
4	18	Perception	PERCEPT		A	2			See 'AAMD_PERCEP' decode table	
4	20	Convulsive Disorder	CONVULS_DIS		A	2			See 'AAMD_CON_DIS' decode table	

4	22	PSY Impairment	PSY_IMPAIR	A	2		See 'AAMD_PSY_IMP' decode table
4	24	Motor Dysfunction	MOTOR_DYS	A	2	First 2 digits of Motor Dysfunction code described in glossary	See 'AAMD_MOT_DYSF' decode table
4	26	Motor Location	MOTOR_LOC	A	1	Third digit of Motor Dysfunction	See 'AAMD_MOT_DYSF_LOC' decode table
4	27	Motor Severity	MOTOR_SEV	A	1	Fourth digit of Motor Dysfunction	See 'AAMD_MOT-DYSF-SEV' decode table
4	28	AAMD Date	AAMD_DT	D	8		Must be < = transaction enter date Must be < = decision date
4	36	IQ Score	IQ	N	3		Required if either IQ test or IQ test date is specified Must be '150'
4	39	IQ Test	IQ_TEST	N	2		Required if either IQ score or IQ test date is specified See 'IQ' decode table
4	41	IQ Test Date	IQ_TEST_DT	D	8		Required if either IQ score or IQ test is specified Must be < = transaction enter date
4	49	SQ Score	SQ	N	3		Required if either SQ test or SQ test date is specified Must be < '150'
4	52	SQ Test	SQ_TEST	N	2		Required if either SQ score or SQ test date is specified See 'SQ' decode table
4	54	SQ Test Date	SQ_TEST_DT	D	8		Required if either SQ score or SQ test is specified Must be < = transaction enter date
4	62	AAMD Version	AAMD_VERS	A	2	Y	Must equal '77'
4	64	Axis 1&2 Version	AXIS12_VERS	A	2	Y	Must equal '10' for ICD10, if date staff decision is 10/1/2015 or later. Forms

							dated prior to that may be '4' for DSM IV 'T' if using diagnostic classification 0-Three.
4	66	Axis III Version	AXIS3_VERS	A	2	Y	Must equal '10' on and later than 10/01/2015 and '09' prior
4	68	AXIS V Cur	AXIS5_CUR	A	2		Level of functioning
4	70	AXIS V Prev	AXIS5_PREV	A	2		See decode table
4	72	AXIS IV-1	AXIS 41	A	1		In Axis IV-1 thru IV-9, at least 1 value is required for MH diagnosis See Axis IV decode table
4	73	AXIS IV-2	AXIS 42	A	1		
4	74	AXIS IV-3	AXIS 43	A	1		
4	75	AXIS IV-4	AXIS 44	A	1		
4	76	AXIS IV-5	AXIS 45	A	1		
4	77	AXIS IV-6	AXIS 46	A	1		
4	78	AXIS IV-7	AXIS 47	A	1		
4	79	AXIS IV-8	AXIS 48	A	1		
4	80	AXIS IV-9	AXIS 49	A	1		

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5	1	Component	COMP	KEY	N	3	Y		Must equal your component code	
5	4	Record Type	REC_TYPE	KEY	N	1	Y	Transaction Data record	Must equal '1'	
5	5	Transaction Code	TRANS	Key	N	2	Y	Batch diagnostic data transaction	Must equal '15'	
5	7	Transaction Format Version	TRANS_VER	Key	A	1	Y	Current version of transaction	Must equal 'C'	
5	8	Logical Transaction Number	PHY_REC_NO	Key	N	6	Y	Logical transaction number in transaction file	Logical transaction numbers must be in ascending order in transaction file	
5	14	Physical Record Number	PHY_REC_NO	KEY	N	2	Y	Physical record sequence number within logical transaction	Must equal '05'	
		Axis III Level 1	ICD31		A	/		ICD31	If reason for action equals '3' (DEATH), then Axis III Level I ICD code is required for axis 31. Also required if reason for action = 2 for MH clients in community centers/BHOs.	
5	16								See ICDCODES	
5	23	Axis III Level 2	ICD32		A	7		ICD32		
5	30	Axis III Level 3	ICD33		A	7		ICD33	"	
5	37	Axis III Level 4	ICD34		A	7		ICD34	"	
5	44	Axis III Level 5	ICD35		A	7		ICD35	"	

5	51	Axis III Level 6	ICD36	A	7	ICD36	“
5	58	Date of Axis III	AXIS3_DT	D	8		Must be < = decision date